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OMB APPROVAL

3235-0504 OMB Number: August 31, 2010

Estimated average burden hours per response. 3.60

and 9 Copies UNITED STATES Expires: Augustion Securities and Exchange Commission Washington, D.C. 20549 FORM 19b-4(e) Performation Required of a Self-Regulatory Organization Listing and Trading a New Performative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Board Options Exchange 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Broker-Dealer 3. Class of New Derivative Securities Product: Exchange-Traded Fund 4. Name of Underlying Instrument: MSCI US Mid Cap Value Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trad NYSE, NASDAQ, NYSE Alternext 8. Settlement Methodology of New Derivative Securities Product: Not Applicable 9 Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Angelo Evangelou Title: <u>thancan reinfr</u>s Assistant General Counsel Telephone Number: (312) 786-7464 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Date: Act December 4, 2008 19b-4 Section 19b-4(e) Rule

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DEC 1 0 2008

SEC 2449 (6-01)